Multidimensional Scaling Methods for Absolute Identification Data

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Abstract

Absolute identification exposes a fundamental limit in human information processing. Recent studies have shown that this limit might be extended if participants are given sufficient opportunity to practice. An alternative explanation is that the stimuli used – which vary on only one physical dimension – may elicit psychological representations that vary on two (or more) dimensions. Participants may learn to take advantage of this characteristic during practice, thus improving performance. We use multi-dimensional scaling to examine this question, and conclude that despite some evidence towards the existence of two dimensions, a one dimensional account cannot be excluded.

Keywords: absolute identification; unidimensional stimuli; multidimensional scaling; MDS; learning

A typical Absolute Identification (AI) task uses stimuli that vary on only one physical dimension, such as loudness, brightness, or length. These stimuli are first presented to the participant one at a time, each uniquely labeled (e.g. #1 through to *n*). The participant is then presented with random stimuli from the set, without the label, and asked to try and remember the label given to it previously.

This seemingly simple task exhibits many interesting benchmark phenomena. The one of most concern for the current paper is the apparent limitation in performance. The maximum number of stimuli that people were previously thought to be able to perfectly identify was only 7±2 (Miller, 1956). Performance was thought to improve slightly with practice and then reach a low asymptote (Pollack, 1952; Garner 1953).

This finding was particularly surprising given that this limit appeared to be resistant to practice (Garner, 1953; Weber, Green & Luce, 1977), and was generally consistent across a range of modalities (e.g. line length: Lacouture, Li & Marley, 1998; tone frequency: Pollack, 1952; Hartman, 1954; tone loudness: Garner, 1953; Weber, Green & Luce, 1977). In addition, this limitation appears to be unique to unidimensional stimuli. For example, people are able to remember hundreds of faces and names, and dozens of alphabet shapes. It is generally accepted that this is because objects such as faces, names, and letters vary on multiple dimensions. Performance generally increases as the number of dimensions increase (Eriksen & Hake, 1955). This makes intuitive sense when one considers the individual dimensions on a multidimensional object. For example, if people are able to learn to perfectly identify 7 lengths, and 7 widths, they could potentially learn to identify 49 rectangles formed by a combination of lengths and widths.

Despite decades of research confirming this limit in performance for unidimensional stimuli, more recent research has suggested that we may be able to significantly increase this limit through practice (Rouder, Morey, Cowan and Pfaltz, 2004; Dodds, Donkin, Brown & Heathcote, submitted). For example, given approximately 10 hours of practice over 10 days, Dodds et al.'s participants learned to perfectly identify a maximum of 17.5 stimuli (out of a possible 36), a level significantly beyond the 7±2 limit suggested by Miller (1956). From 58 participants that took part in a series of AI tasks, 22 exceeded the upper end of Miller's limit range (nine stimuli).

Other Stimulus Dimensions

The results from Dodds et al. (submitted) were not limited to the identification of lines varying in length. Dodds et al. also used a wide range of other stimuli, and found similar learning effects. For example, dots varying in separation, lines varying in angle and tones varying in pitch all demonstrated similar results. Participants learned to perfectly identify a maximum of 12.6 stimuli using dots varying in separation, 10.4 using lines varying in angle and 17.5 using tones varying in frequency, all exceeding Miller's (1956) upper limit of 9 stimuli.

The learning effects from Rouder et al. (2004) and Dodds et al. (submitted) may be attributed to the type of stimuli employed. The existence of severe limitations in

performance is unique to unidimensional stimuli, and since multiple dimensions are commonly associated with improved performance (Eriksen & Hake, 1955) it may be argued that the stimuli vary on multiple dimensions. Tones varying in frequency for example, are generally viewed as multidimensional. While Dodds et al. employed *pure* tones, leaving the stimuli to vary on only one *physical* dimension (wavelength), our perception of loudness increases as a function of increasing frequency. Therefore as frequency increased, participants would perceive the tones as being of different loudness, creating a greater number of perceived dimensions. This is not an uncommon phenomenon, as a similar effect is found in colour perception. Different colours are generated by a manipulation which is *physically* unidimensional (wavelength change), but the psychological representation of colour is generally considered to consist of three dimensions (e.g., MacLeod, 2003). Therefore it may be possible that the internal psychological representation of different line lengths used in both Rouder et al. (2004) and Dodds et al. (submitted) varied on more than one dimension.

In order to examine this theory using the same stimuli employed by Dodds et al. (submitted), we use Multidimensional Scaling (MDS) methods to examine the structure of similarity ratings generated using these stimuli. MDS refers to a broadly used range of statistical techniques, designed to allow the examination of relationships between objects of interest. Given a matrix of proximity data, MDS uncovers a spatial arrangement of objects in a manner that best reconstructs the original proximity data. For example, given a matrix of data with the distances between *n* cities, MDS analysis would present a spatial 'map' that would arrange the cities in the most likely location, given the distances provided by the data. Because we use subjective "similarity ratings", rather than actual measured distances, we employ non-metric MDS, which does not assume a linear mapping between similarity ratings and distances.

Typically, MDS is employed after one has already assumed the number of dimensions on which the stimuli might vary. In the current experiment however, we use MDS to determine the number of dimensions that best describe Dodds et al.'s (submitted) stimuli.

Method

Participants

The 27 participants, recruited from an introductory psychology course at the University of Newcastle, Australia, took part in exchange for course credit.

Stimuli

Stimuli were 16 lines varying in length (Figure 1). See Table 1 for pixel lengths. Lines were 11 pixels in width and were black, presented on a white background. Stimuli were log spaced, and were separated by a distance substantially greater than the Weber fraction for length (2%; Laming, 1986; Teghtsoonian, 1971).

Figure 1. Unidimensional stimuli (line lengths) used in the Experiment. On any single trial, two of these stimuli were presented consecutively. All possible pairs of stimuli, including identical stimuli, were presented twice during the Experiment.

Table 1. Pixel lengths of the 16 lines used as stimuli

Pixel Lengths							
15	18	22	27	33	41	50	61
74	90	110	134	164	200	244	298

Procedure

Participants were instructed to rate the similarity of two stimuli that appeared on a computer monitor, on a scale of 1 to 100. On each trial, a single line would appear on the screen for 1 sec, followed by another line for 1 sec. The position of each line was jittered randomly on every presentation. After the two stimuli had been removed from the screen, a slider panel appeared at the bottom of the screen, allowing the participant to move a scrolling bar along a scale of 1 to 100 (where 1 = *dissimilar* and 100 = *similar*). Every possible pair of stimuli from the set, including identical pairs were presented twice. This resulted in 8 blocks of 64 trials, or a total of 512 trials (i.e., where n=16 stimuli and r=2 replications, number of trials = rn^2). A mandatory 30 sec break was taken between each block.

Each participant was given five practice trials at the beginning of the experiment, where they were asked to complete an identical task to the one above, with the exception that the stimuli were circles varying in diameter. The purpose of the practice trials was only to familiarize the participant with the response method. Different stimuli were used to prevent additional exposure to experimental stimuli.

Results

The main objective of our analysis is to determine whether the stimuli used by Dodds et al. (submitted) are represented internally by one or multiple dimensions. Initial descriptive analysis suggested that the data were consistent with a one-dimensional explanation: Figure 2 shows the average similarity ratings across participants, plotted as function of stimulus magnitude for each stimulus in the rating pair. Note that identical stimuli are rated as very similar (along the central diagonal), and rated similarity decreases monotonically with the rank-distance between the stimuli (at the left and right corners).
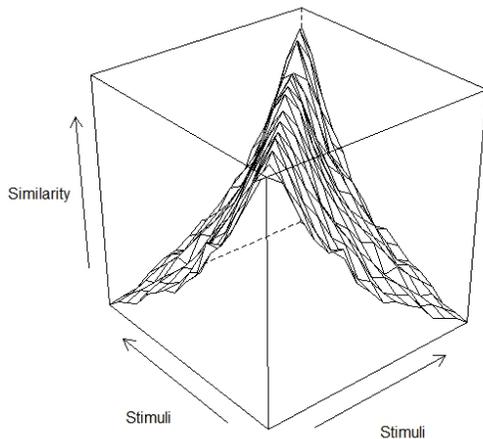

Figure 2. 3D structure of similarity ratings of all 16 stimuli.

Although Figure 2 indicates that the similarity ratings are consistent with a 1D psychological representation, they could nevertheless hide very subtle effects in the data, or large effects for individuals that average out in the group. In order to test this, we calculated non-metric MDS analyses for individual data. Each participant's data were transformed into a single symmetric dissimilarity matrix by subtracting the average similarity rating for each pair of items from 100 and averaging across reversed presentations (e.g., stimulus pair #1-#7 with stimulus pair #7-#1). This matrix was submitted for MDS analyses using both 1D and 2D representations for the data.

Deciding which of the 1D and 2D MDS analyses provides the best account of the data is not trivial. Various ad hoc methods have been used, including examining a goodness of fit measure, or examining the spatial arrangement the points in proximity plots. We applied both methods to our data. In MDS, goodness of fit between the reconstructed and observed dissimilarity matrices is typically measured by sum-squared error, which is called the *stress* value. Smaller stress indicates a better fit; however the MDS models are *nested* meaning that stress must always decrease as more dimensions are included. This means that stress must always be smaller for the 2D than the 1D model. Statistical tests on the magnitude of decrease in stress are not easily constructed, because the key properties of non-metric MDS make it difficult to assume a distributional model for the

data. Figure 3 graphs the average stress value, across participants, for MDS fits with dimensions from 1 to 10 (a *scree plot*).
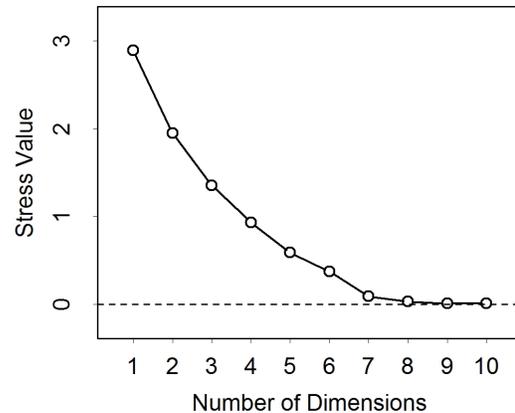

Figure 3. Scree plot showing the decrease in stress value as the number of dimensions increase.

Some authors recommend determining the number of dimensions from a scree plot by finding its "elbow"; a sharp drop in stress value, followed by a relatively flat continuation. Such a pattern could suggest that the latter dimensions fail to provide sufficiently better fit to warrant adding more dimensions to the model. Unfortunately, this method fails to provide any insight into the number of dimensions that best describe the stimuli, as there is no obvious elbow in the scree plot. This is a common problem (e.g., Grau & Nelson, 1988; Lee, 2001). In addition, the use of such methods has been criticized as placing unreasonable emphasis on a numerical measurement. Such methods to determine dimensionality are often used to the exclusion of other, more meaningful aspects of analysis, such as simply the interpretability of results (Shepard, 1974).

A more appropriate method to determine whether a two dimensional model provides a sensible description of the stimuli might be to examine the spatial relationship between objects in the purported 2D psychological space. This can be investigated with a "proximity plot", where each of the points provided in the similarity matrix are physically arranged in a manner that best satisfies the distances (or similarities) provided in the original data. Figure 4 shows two examples of these proximity plots, for two participants, from MDS analyses with two dimensions.

The philosophy of using MDS to recover internal structure relies on the assumption that, if the psychological representation of the stimuli was truly two dimensional, these 2D MDS proximity plots should reconstruct the internal representation. Because of the nature of the models under consideration (e.g. of categorization and absolute identification), this internal representation should have some relatively smooth and systematic shape. On the contrary, if the internal representation of the stimuli is truly one dimensional, these 2D MDS proximity plots should
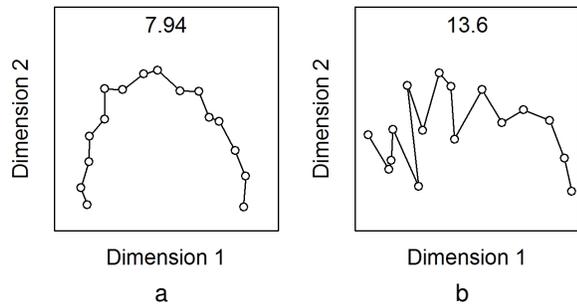
Figure 4. Two proximity plots of individual fits of a two dimensional model. Each of these graphs is the resulting proximity plot from a single participant in the Experiment. Each point represents a single stimulus in 2D space. Lines connect adjacent stimuli in the set. The value at the top of each graph is the stress value, a goodness of fit measure.

illustrate the 1D structure (a straight line) possibly along with some meaningless noise.

However, these interpretations of the proximity graphs are only appropriate when examining the results of metric MDS analyses (using true, quantitative distances). In the current case, where non-metric MDS analyses must be used, patterns that may normally suggest a two dimensional internal representation, might actually arise from data that are truly *one dimensional*. This problem stems from the monotone transformations allowed by non-metric MDS, between the observed similarity data and the internal psychological distances (as noted originally by Shepard, 1974). Since non-metric MDS analyses only preserve the rank order of the similarity ratings, leaving the exact similarity values to vary in systematic ways that best suit the data, there is considerable flexibility in the spatial arrangements that might arise from a single underlying dimension. Therefore both Figure 4a and Figure 4b could be construed as evidence favouring a single underlying dimension. Whilst the two proximity plots demonstrate distinctly different patterns, both provide evidence to suggest that our stimuli vary on only a single dimension.

Even though smooth C- or U-shaped proximity plots are *consistent* with one dimensional internal representations, they are also consistent with two dimensional internal representations – that is, truly C- or U-shaped underlying structures. We attempt to resolve this ambiguity using a simulation study comparing MDS outputs from 1D and 2D fits to truly 1D data, in the presence of noise. These simulations provide a metric for interpreting the stress values from our fits to data.

Simulation Study

We investigated this problem of dimensionality with a simulation study. We generated synthetic data from a similarity matrix that was truly one dimensional (the rated distance between each stimulus was a linear function of their ranked difference in the set). We scaled this generating similarity matrix to be as similar to the observed data as

possible; we used 16 stimuli, with maximum and minimum similarity ratings of 95.91 and 6.88, respectively. Similarity between stimuli i and j could then be set as:

$$sim_{max} - (sim_{max} - sim_{min})*(abs(i-j)/15)$$

From this true similarity matrix, we generated synthetic data sets that matched the characteristics of the real data. Noise was added to the matrix using a normal distribution with standard deviation 12.18, and sampled similarity values outside [0,100] were truncated. These settings resulted in synthetic similarity matrices that were nearly identical to the human data, on average, for the range and variance of similarities, and also for the variance of similarity values across participants, conditioned on each stimulus pair.

We generated 1000 such matrices, and fit each with MDS using both 1D and 2D settings. The lower panel of Figure 5 shows the difference in stress values between these two fits for each simulated data set (negative values indicate a better fit for 2D than 1D).
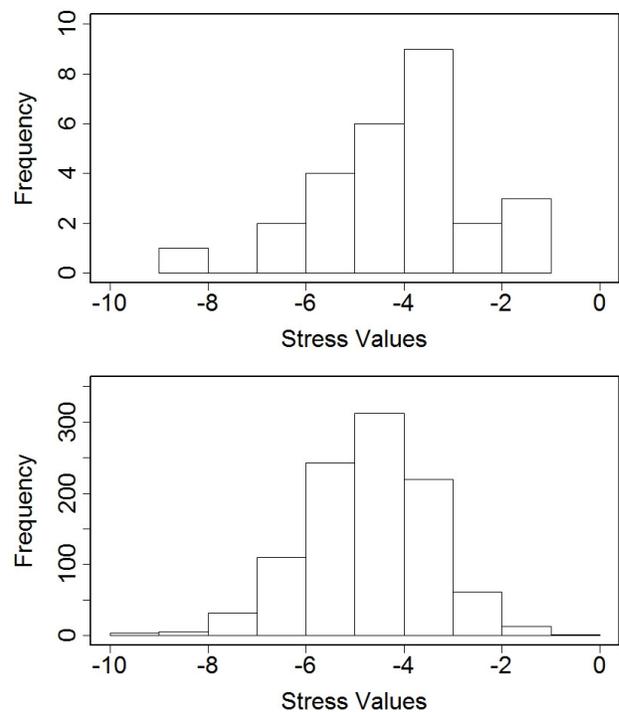

Figure 5. Difference in stress values for between 2D and 1D fits of the original data (top panel) and the true 1D data (bottom panel)

The upper panel of Figure 5 shows the difference between 2D and 1D stress values for the fits to our human data. The important thing to take from these graphs is that the decrease in stress generated by moving from a 1D to a 2D fit is about the same for our human data as it is for our synthetic data. Since the synthetic data were generated by a truly 1D process, this means that the stress values calculated for our human data are entirely consistent with a 1D

account. This provides further support to the evidence provided by the MDS analysis of our own data – that our stimuli may vary on only a single dimension.

Discussion

The purpose of the current experiment was test line-length stimuli commonly used in AI and always assumed to be unidimensional (e.g., Dodds et al., submitted; Rouder et al., 2004; Lacouture & Marley, 1995; Lacouture, 1997). Dodds et al. found that contrary to previous research, their participants were able to substantially improve their performance at the task when given significant practice. Although the stimuli used in their experiment varied on only one physical dimension, the results were more reminiscent of experiments using multiple dimensions, where it is more common to find substantial improvement with practice.

Although the stimuli used in Dodds et al. (submitted) varied on only one physical dimension, it is possible that they may vary on multiple psychological dimensions. In order to examine how many psychological dimensions underpin these stimuli, we used two methods; 1) using MDS techniques we examined similarity data taken using these same stimuli and 2) compared the structure of our data to simulated one dimensional data. MDS proximity graphs suggested that the stimuli may vary on a single dimension, and our simulation study provided further support for this, showing that these fits could be consistent with a one dimensional data generating process, when noise is added.

When examining individual proximity graphs taken from MDS analysis assuming two dimensions, a C (or U) shaped pattern often emerged, which is commonly assumed to provide evidence towards a 2D solution (Shepard, 1974). While this may be appropriate for a metric MDS analysis, the monotonic transformations unique to *non-metric* MDS allow some flexibility in the position of the objects in the final proximity graph. Despite this difference required in interpretation of metric vs. non-metric proximity graphs, it is possible that the two types of proximity graphs generated by our data (Figure 4) were genuinely representative of one vs. multiple dimensions, and that the action of specifying the number of dimensions to examine, forces the model to fit, sporadically producing evidence for and against a two dimensional solution. In support of a one dimensional solution however, our simulated data demonstrate a similar structure to our original similarity data, suggesting that the stimuli used in Dodds et al. (submitted) vary on only a single dimension.

Therefore it appears that the interpretation of MDS output for the number of underlying dimensions in the data is difficult. While we were able to gather evidence using a variety of techniques to suggest that our data were consistent with a single dimension, MDS could not provide a definitive answer. Lee (2001) showed that it is possible to reliably determine dimensionality from MDS analysis, but only when the determination is between larger numbers of dimensions. Like us, he found much poorer reliability when the choice was between lower numbers of dimensions.

Hence, the task of choosing between a low number of dimensions remains very subjective, and users should take care not be misled by "overfitting", where a complex model imitates data from a simpler underlying data generating process. Furthermore, in the case of determining dimensionality, one should take care not to focus solely on quantitative results such as the stress value, but also take into consideration the pattern of data in the original similarity matrix (such as in Figure 2) or even simply the interpretability of results (Shepard, 1974).

Both the MDS analysis of the similarity data for Dodds et al.'s (submitted) lines of varying length and our simulation study were consistent with a 1D psychological representation. This finding makes it less likely that the substantial improvement with practice observed by Rouder et al. (2004) and Dodds et al. (submitted) in absolute identification of line lengths was due to participants learning to take advantage of a multi-dimensional psychological representation. This finding may also extend to the other stimuli that Dodds et al. employed. Similar learning effects to that of lines varying in length suggest that modality, or specifically, the number of dimensions that stimuli vary within, cannot be the sole cause of the improvement in performance. Hence, investigation of alternative explanations for the improvement they observed seems warranted.

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